WHAT IS A CENTRAL AUDIOTRY PROCESSING EVALUATION?

Central auditory processing (CAP) is, in essence, how the nervous system manages and interprets sound. It is best described as what the brain does with what the ears hear. We all have auditory processing skills, which we use, in varying amounts according to the situation. If these skills are poorer than normal, the listener may have difficulty understanding, remembering or comprehending what is being said by someone else, depending on the circumstances.

To evaluate someone's central auditory processing abilities, a battery of several listening tests is used, and the performance of the test subject is compared to that of individuals with no known central auditory problems. These tests involve having the person being evaluated listen to speech, which is presented in unusual ways or in competition with noise. It is when the auditory system is stressed or taxed in this manner that a central auditory processing disorder shows up. Prior to the CAP portion of the test, a standard hearing test battery including tone thresholds, speech reception thresholds, speech discrimination testing without noise and tympanometry will be done. This must be done as close to the day of the CAP testing as is possible for two reasons. First the volume levels at which the CAP tests are given are based upon individual hearing levels. Variations of five decibels are common from day to day when human hearing is measured. Second, the effect of middle ear fluid on CAP tests is variable, and if conditions suggesting fluid are present at the time of testing, then the results are not quite as valid.

To prepare a child for central auditory processing testing, tell them that they will be wearing headphones (demonstrate this at home if you have a pair), and will be asked to listen and repeat some words. They will also be listening for small sounds (beeps) and will need to push a button when they hear it. It is usually fun to do this. There is no pain, discomfort, wires, electrodes, or needles involved. Depending on the child, testing takes about one hour with another half-hour immediately following to discuss preliminary results with the parents.

To Whom It May Concern:

This is a brief description of the three tests included in the battery for assessing central auditory processing disorders. In addition to the audiometric evaluation, the three tests used were: **SSW** (Staggered Spondaic Words), Speech-In-Noise, and Phonemic Synthesis Test. A battery of tests is necessary since a CAP disorder cannot be diagnosed based on only one test. Three tests are usually considered the minimum number of tests necessary to make the diagnosis.

The **SSW Test** is a dichotic listening test. Its complicated scoring allows the examiner to analyze auditory memory skills as well as processing skills.

The **Speech-In-Noise Test** looks specifically at auditory figure-ground problems (problems hearing in background noise) by comparing monaural scores for quiet and +5 signal to noise ratio noise conditions for right and left ears separately.

The **Phonemic Synthesis Test** provides information about the individual's phonemic decoding skills (or ability to process on the speech sound level) as well as additional information about auditory memory skills and sequencing skills.

Norms are available for each of the tests for ages 5 through adult, and each of the above tests are analyzed based on the age-specific norms.

Sincerely,

Holly A. Hurley, M.S., CCC-A

Holly a Hully

Audiologist

Rating Scale- IV: Home Version

| Child's Name: | | | Gender: M | - Age: | Grade: |
|----------------------|--------|----------|------------|--------|--------|
| Completed By: Mother | Father | Guardian | Grandparen | t | |

Circle the number that best describes your child's home behavior over the past 6 months.

| | Never or rarely | Sometimes | Often | Very Often |
|---|-----------------|-----------|-------|------------|
| Fails to give close attention to details or makes caresless mistakes in schoolwork. | 0 | 1 | 2 | 3 |
| 2. Fidges with hands or feet or squirms in seat. | 0 | 1 | 2 | 3 |
| 3. Has difficulty sustaining attention in tasks or play activities. | 0 | 1 | 2 | 3 |
| 4. Leave seat in classroom or in other situations in which remaining seated is expected | 0 | 1 | 2 | 3 |
| 5. Does not s eem to listen when spoke to directly. | 0 | 1 | 2 | 3 |
| 6. Runs about or climbs excessively in situation in which it is inappropriate. | 0 | 1 | 2 | 3 |
| 7. Does not follow through on instructions and fails to finish work. | 0 | 1 | 2 | 3 |
| 8. Has difficulty playing or engaging in leisure activities quietly. | 0 | 1 | 2 | 3 |
| 9. Has difficulty organizing tasks and activities. | 0 | 1 | 2 | 3 |
| 10.Is "on the go" or acts as if "driven by a motor" | 0 | 1 | 2 | 3 |
| 11. Avoids tasks (e.g. schoolwork, homework) that requires sustained mental effort. | 0 | 1 | 2 | 3 |
| 12. Talks excessively. | 0 | 1 | 2 | 3 |
| 13. Loses things necessary for tasks or activities. | 0 | 1 | 2 | 3 |
| 14. Blurts out answers before questions have been completed. | 0 | 1 | 2 | 3 |
| 15. Is easily distracted. | 0 | 1 | 2 | 3 |
| 16. Has difficulty awaiting turn. | 0 | 1 | 2 | 3 |
| 17. Is forgetful in daily activities. | 0 | 1 | 2 | 3 |
| 18. Interrupts or intrudes on others. | 0 | 1 | 2 | 3 |



29 North Academy Street | Greenville, SC 29601 ρ 864.331.1400 f 864.331.1416 www.clarityupstate.org

PEDIATRIC INITIAL QUESTIONNAIRE

| Date: | Form Co | ompleted By: | Relationship to Child: | | |
|--|--|--|--|---------------------------|--|
| Child's Name: | | (Middle) | | | |
| | (First) | (Middle) | (Last) | ("Nick Name") | |
| Date of Birth: | | Gender: | Gr | rade: | |
| Name of School: | | | School District: | | |
| Primary Care Phy | sician: | | | | |
| Referring provide | r if different fro | om primary care physicia | ın: | | |
| What are your rea | sons for seeking | g help for the child at this | time? | | |
| | | | | | |
| When did you firs | t become conc | erned about the child? | | | |
| • | | | | | |
| | | | | | |
| What are the mor | st positive feat | ires about the child? | | | |
| What are the mos | st positive featu | ures about the child? | | | |
| | · | ures about the child? | | | |
| Family Informa | tion_ | | | | |
| Family Informa | <u>tion</u> ed? □ No □ ` | | Father's Name: | | |
| Family Informa Is the child adopte Mother's Name: _ | tion ed? □ No □ ` | Yes | | | |
| Family Informa Is the child adopte Mother's Name: _ Occupation | tion ed? No | Yes | Occupation | eted | |
| Family Informa Is the child adopte Mother's Name: _ Occupation Highest Grade Co | tion ed? | Yes | Occupation | eted | |
| Family Informa Is the child adopte Mother's Name: _ Occupation Highest Grade Co | tion ed? No ' | Yes clude siblings and ages? | Occupation Highest Grade Comple | eted | |
| Family Informa Is the child adopte Mother's Name: _ Occupation Highest Grade Co | tion ed? No ompleted ild live with (Incamily members | Yes clude siblings and ages? | Occupation Highest Grade Comple ibling, etc.) are <u>living elsewh</u> e | eted | |
| Family Informa Is the child adopte Mother's Name: _ Occupation Highest Grade Co Who does the ch If any immediate f | tion ed? No ompleted ild live with (Incamily members | Yes clude siblings and ages? s (for example, parent, s | Occupation Highest Grade Comple ibling, etc.) are <u>living elsewh</u> e | eted ere, please list: | |
| Family Informa Is the child adopte Mother's Name: _ Occupation Highest Grade Co Who does the ch If any immediate f | tion ed? No ompleted ild live with (Incamily members | Yes clude siblings and ages? s (for example, parent, s | Occupation Highest Grade Comple ibling, etc.) are <u>living elsewh</u> e | eted ere, please list: | |

| Page 2 | | Patient's Name | | |
|--|----------------------------|-----------------------------|---------------------------------|----|
| Pregnancy History Was the child's mother under do | ctor's care during the p | regnancy? 🗆 No 🗆 Yes | | |
| During the pregnancy, did the names moke cigarettes? No Yes | • | ations or drugs (other tha | n vitamins/iron), drink alcohol | or |
| If yes, please describe | | | | _ |
| Any complications during the pre | gnancy or delivery? 🗆 N | No □ Yes | | |
| If yes, please describe | | | | _ |
| Any specialized treatment provide | ed to baby during and/o | r following delivery? No | □ Yes | |
| If yes, please describe | | | | _ |
| Was the child born prematurely? | □ No □ Yes If yes, | what was the gestational ag | e at delivery?weeks | |
| Birth weight:lbsoz | Length of hospital st | tay following delivery: | Mother Baby | |
| Check any of the following which Breathing Problems I Jaundice (yellow) E | nfection | ☐ Birth Defect | • | |
| Developmental History: Communication/Speech/Language If concerns, please describe | , | | | |
| Motor Skills: | • | Seems/ed on time | | |
| If concerns, please describe | | | | |
| Do you have concerns for the chi | • | | | |
| If yes, please describe | | | | _ |
| Do you have concerns for the chi | | | | |
| If yes, please describe | | | | _ |
| Do you have concerns for the chi | | | | |
| If yes, please describe | | | | _ |
| Do you have any additional conce | erns for the child's devel | lopment? No Yes | | |
| If yes, please describe | | | | _ |
| | | | | |

| Page 3 Health History: Has the child had any of | of the followi | ing? | Pat | tient's Nai | ne | | | |
|---|----------------|------------|----------|-------------|--------------|------------------|------------|-------|
| Convulsions, seizures, fainting spells? If yes, please indicate when and descriptions. | | | | | | _ N | | □ Yes |
| Vision or eye problems? | | | | | | □ N | 0 | □ Yes |
| If yes, when was the last time the chil | d's vision has | been scr | eened | or evaluat | ed? | | | |
| If yes, does the child wear glasses or | contacts? 🗆 N | No □ Yes, | , Please | e specify _ | | | | |
| Hearing Problems? | | | | | | □ N | 0 | □ Yes |
| If yes, when was the last time the chil | d's hearing h | as been so | reene | d or evalu | ated? | | | |
| If yes, does the child have hearing aid | (s)? | | | | | 1 | 10 | □ Yes |
| Did the child pass their newborn hearing | g screening? | | | | | 1 | 10 | □ Yes |
| Recurrent Ear Infections? If yes, please indicate when and descr | ibe | | | | | <u> </u> | 1 0 | □ Yes |
| Have PE tubes been inserted? If yes, at what age(s) and how many t | imes? | | | | | 1 🗆 | 10 | □ Yes |
| Allergies If yes, what is the child allergic to? | | | | | | | 10 | □ Yes |
| Any surgeries, serious illnesses, injuries If yes, please indicate when and descr | - | - | • | | | 1 🗆 | 10 | □ Yes |
| Has the child ever been hospitalized over lf yes, when and why? | - | | | | | 1 🗆 | 10 | □ Yes |
| Has the child ever been given a diagnosi If yes, please indicate when and state | | | | | | | | □ Yes |
| Please list any current health concerns: | | | | | | | | |
| Is the child taking any medications? If yes, please list: | | | | | | | 10 | □ Yes |
| Does or has the child received any of the | e following se | ervices? | If yes | s, please d | escribe (whe | n, how long, wha | : foı | r): |
| BabyNet / Early Intervention | □ No | □ Yes : | | | | | | |
| Speech/language therapy | | | | | | | | |
| Occupational therapy | □ No | □ Yes : | | | | | | |
| Physical therapy | □ No | □ Yes : | | | | | | |
| Counseling | □ No | □ Yes : | | | | | | |

| Page 4 | Patient's Name |
|--|---|
| Educational History | |
| What schools has the child attended? (please list in chronological | order beginning with nursery/preschool) |

| Does the child receive extra help at school If yes, please mark any supports the chil Tutoring Rtl 504 | d has received | : | □ Yes ducation (IEP) □ Other |
|--|----------------|-----------|---------------------------------------|
| Has the child ever had any testing done by If yes, please describe | | em or els | ewhere? □ No □ Yes |
| Family History: Is there a family history of | | ı | If yes, list who has/d these concerns |
| earning Difficulties | □No | □ Yes : | |
| Reading | □ No | □ Yes : | |
| Written Language | □ No | □ Yes : | |
| Mathematics | □ No | □ Yes : | |
| Attention Problems | □ No | □ Yes : | |
| Hyperactivity/Impulsivity | □ No | □ Yes : | |
| Anxiety | □ No | □ Yes : | |
| Depression | □ No | □ Yes : | |
| Autism Spectrum Disorder | □ No | □ Yes : | |
| ntellectual Disability | □ No | □ Yes : | |
| Depression | □ No | □ Yes : | |
| Sipolar Disorder | □No | □ Yes : | |
| Schizophrenia/Delusions/Hallucinations | □ No | □ Yes : | |
| anguage/Speech Delay | □ No | □ Yes : | |
| Any genetic syndrome | □ No | □ Yes : | |
| Conduct Problems | □ No | □ Yes : | |
| Drug/Alcohol Problems | □ No | □ Yes : | |
| Seizure Disorder | □ No | □ Yes : | |
| Motor or Vocal Tics | □ No | □ Yes : | |
| Hearing Loss | □ No | □ Yes : | |
| /isual Problems | □ No | □ Yes : | |
| 1uscular problems/weakness | □ No | □ Yes : | |
| Other (Please specify) | □ No | □ Yes : | |
| | | | |



NOTICE OF CLARITY'S OFFICE POLICY

Clarity, Inc. participates with many insurance companies and we will submit your claim to all carriers that we participate with. Please be advised that your individual health insurance policy is a contract between you and your insurance company, and Clarity Inc. is not a party to that contract. Be advised that some of your services MAY NOT be covered by your individual insurance policy. By presenting for care, you agree that you will be financially responsible for all services and charges, regardless of your insurance status. Should any provided services not be covered by your insurance, WE WILL NOT ALTER YOUR CLAIM, CHANGE YOUR DIAGNOSIS, OR REPORT A DIFFERENT SERVICE THAN WHAT WAS PERFORMED IN ORDER THAT YOUR INSURANCE WILL COVER THE CHARGE. YOU WILL BE RESPONSIBLE FOR THE BALANCE. The ONLY exception to this is that, should the correction be due to a clerical error in original service entry. We accept most major credit cards. Copies of all insurance cards AND a photo ID are required prior to any services being rendered or insurance claims being submitted on your behalf. Your signature below acknowledges your acceptance of Clarity's office policy as well as your financial responsibility for any charges not covered by the insurance

2020 PATIENT INFORMATION

carriers you have listed below. Please see the Business Office for a copy of this agreement.

| Patient's Name | |
|--|--|
| Patient's Date of Birth | |
| Patient's Address | |
| City, State, Zip | |
| Email Address | |
| Home Phone | |
| Cell Phone | |
| Work Phone | |
| Primary Care Physician Name | |
| PCP Phone | |
| Emergency Contact Name | |
| Relationship to Patient | |
| Emergency Contact Address | |
| PRIMARY INSURANCE CARRIER | |
| Policy Holder Name | |
| Policy Holder Address (if different from above) | |
| Policy Holder Date of Birth | |
| Policy Holder Name Policy Holder Address (if different from above) Policy Holder Date of Birth Permission for client's picture to be taken and us (This picture is for internal use only) | sed as part of their electronic chart: □ yes □ no |
| Are you interested in receiving more information | a about the following services: |
| ☐ Hearing & Audiology ☐ Speech-Language Therapy ☐ | |
| Would you like to receive emails from Clarity about | out services and upcoming events? \square yes \square no |
| ACKNOWLEDGEMENT: | |
| have read the above 'Notice of Clarity's Office Policy' and willingly authoriz medical/insurance purpose concerning any and all charges for services rende | ze medical evaluation and treatment, as well as any release of any medical information for dered by Clarity, Inc. in regards to the above mentioned patient. |
| Printed Name of Financially Responsible Party | Date |
| ignature of Responsible Party | |



Notice of Clarity's Office Policies

Insurance Disclosure

Please read and sign the following. If you have any questions about this form, please contact Clarity: The Speech, Hearing, and Learning Center at (864) 331-1400.

Clarity, Inc. participates with many insurance companies and we will submit your claim to all carriers that we participate with. Please be advised that your individual health insurance policy is a contract between you and your insurance company, and Clarity Inc. is not a party to that contract. Be advised that some of your services MAY NOT be covered by your individual insurance policy. By presenting for care, you agree that you will be financially responsible for all services and charges, regardless of your insurance status. Should any provided services not be covered by your insurance, WE WILL NOT ALTER YOUR CLAIM, CHANGE YOUR DIAGNOSIS, OR REPORT A DIFFERENT SERVICE THAN WHAT WAS PERFORMED IN ORDER THAT YOUR INSURANCE WILL COVER THE CHARGE. YOU WILL BE RESPONSIBLE FOR THE BALANCE. The ONLY exception to this is that, should the correction be due to a clerical error in original service entry. We accept most major credit cards. Copies of all insurance cards AND a photo ID are required prior to any services being rendered or insurance claims being submitted on your behalf.

Your signature below acknowledges your acceptance of Clarity's office policy as well as your financial

responsibility for any charges not covered by your health insurance. Please see the Business Office for a copy of this agreement.

Signature of Patient or Parent/Guardian

Date

Patient Name

Patient's Date of Birth

I authorize the release of any medical or other information to the insurance company that is necessary to process my insurance claim(s).

Signature of Patient or Parent/Guardian

Date

If there are any changes to your or your child's insurance between now and the time of your appointment, please notify us because your new insurance my not cover your service.

Signature of Patient or Parent/Guardian

Date

DEPOSIT ACKNOWLEDGEMENT FOR CENTRAL AUDIOTROY PROCESSING EVALUATIONS

I acknowledge that if I cancel my child's evaluation within (2) weeks of the appointment, and choose not to reschedule the appointment, the deposit will be forfeited. (This policy applies to canceled appointments- not appointments that must be rescheduled due to illness or family emergency.) I further acknowledge that I or my child will be allowed only one reschedule before my deposit is forfeited (this does not apply if Clarity Staff cause the reschedule).

| Signature of Patient or Parent/Guardian | Date | |
|---|------|--|



Late Cancellation and no-show policy:

| | (in any six month time period) are missed or cancelled with less than at after a six month waiting period from the time of the missed |
|---|--|
| I acknowledge that I understand the policy for late of | cancellations and no shows: |
| Signature of Patient or Parent/Guardian | Date |
| Photography Permission | |
| (This picture is for internal use only) | nd used as part of their electronic chart: |
| Are you interested in receiving more information a ☐ Hearing & Audiology ☐ Speech-Language Therapy | bout the following services: Psychological Evaluations Learning Intervention Counseling |
| Would you like to receive emails from Clarity about | |
| ** PLEASE BRING COMPLETED FORM ALONG WITH | H INSURANCE IDENTIFICATION CARD AND A GOVERNMENT ISSUED |

PHOTO ID TO THE BILLING OFFICE UPON CHECK IN. **

If for any reason, you are unable to keep this appointment, we request you call us 24 hours in advance at (864) 33 I-



29 N. Academy Street Greenville, SC 29601 Phone: 864.331.1400

COMPOUND AUTHORIZATION

The purpose of this authorization is to meet the patient's request for information disclosures and uses. This authorization shall be in enforce for six years, until the patient/client reaches the age of majority (18 years of age) or until this authorization is revoked by the patient or the patient's personal representative.

PATIENT INFORMATION

| Patient's I | last name Pati | ent's first name | Patient's middle name |
|-----------------------|---|---|--|
| Patient's | date of birth | | |
| V erificatio | on method: Clarity, Inc. will verify the i | dentity of a person requesting | g protected health information and the authority o |
| any such pei | rson to have access to protected health i | nformation if the identity or t | he authority of such person is not known to Clarity |
| Inc. Please p | provide a word/phrase that Clarity, Inc. c | an ask for to verify identity: | |
| This author | | | alth information listed in the description section |
| | ne entity or person listed for the patie unicating Directly with Patient o | r Personal Representati | ive (as defined by HIPAA) |
| Initial if | Patient (or Personal Representative | e)'s Mailing Address: | |
| authorized | City: | State: | Zip: |
| Initial if authorized | Patient (or Personal Representative)'s Home Phone Number | Ok to leave message? | Description of Information to be provided: Appointment Information Financial Information Other |
| Initial if authorized | Other Phone Numbers for Patient (or Personal Representative): #(Cell) #(Work) | ☐ Ok to leave message?☐ Ok to leave message? | Description of Information to be provided: Appointment Information Financial Information Other |
| | # (Other) | Ok to leave message? | |
| Initial if authorized | Primary Email | | Description of Information to be provided: Appointment Information Financial Information Report from Evaluation/Screening Treatment Progress Other |
| Initial if authorized | Secondary Email | | Description of Information to be provided: Appointment Information Financial Information Report from Evaluation/Screening Treatment Progress Other |



29 N. Academy Street Greenville, SC 29601 Phone: 864.331.1400

Communications FROM Clarity will be sent as encrypted messages. Emails sent TO Clarity by you (the patient/client or personal representative of the client) may be unencrypted as this is not a standard feature of most email providers. Please be aware that email communications can be intercepted during transmission or misdirected. Your use of email to communicate Protected Health Information or other information of a confidential nature to us indicates that you acknowledge and accept the possible risks associated with such communication. **Communications with Others** School or Employer: Description of Information to be provided: Date/Time of Appointment(s) Initial if Report from Evaluation/Screening authorized **Treatment Progress** Other Description of Information to be provided: SC Children's Rehabilitative Services Date/Time of Appointment(s) Initial if Report from Evaluation/Screening authorized Treatment Progress Other Description of Information to be provided: SC BabyNet Agency: ☐ Date/Time of Appointment(s) Initial if Report from Evaluation/Screening authorized **Treatment Progress** Other Other (Please give name and relationship): Description of Information to be provided: Date/Time of Appointment(s) Initial if Report from Evaluation/Screening authorized **Treatment Progress** Other _____ Address: City: State: Zip: Phone Number: Other (Please give name and relationship): Description of Information to be provided: Date/Time of Appointment(s) Initial if Report from Evaluation/Screening authorized Treatment Progress Other Address: City: _____ State: Zip: ____ Phone Number: ____ **Rights of the Patient** I understand that I have the right to refuse to sign this authorization and that my treatment will not be conditioned on signing. I understand that I have the right to revoke this authorization at any time by sending a written notification to the address listed at the top of this form I understand that a revocation is not effective in cases where the information has already been used or disclosed but will be effective going forward. I understand that information used or disclosed as a result of this authorization may be subject to redisclosure by the recipient and may no longer be protected by federal or state law. Signature of Patient or Personal Representative Date Relationship to patient (as defined by HIPAA) (if other than patient)

Description of Personal Representative's Authority (Attach necessary documentation):

Notice of Privacy Practices for the office of CLARITY, Inc.

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

Protected Health Information

"Protected Health Information" (also referred to as PHI) is health information created or received by your health care provider that contains information that may be used to identify you, such as demographic data. It includes written or oral information that relates to your past, present, or future mental health; provision of health care to you; and your past present, or future payment for health care.

<u>Uses and disclosures to carry out treatment, payment, and health</u> care operations

Treatment- CLARITY, Inc. may use or disclose your protected health information in consultation between health care providers relating to your treatment or for your referral to another health care provider for your treatment.

Payment- CLARITY, Inc. may use or disclose your protected health information for billing, claims management, collection activities, or obtaining payment.

Health Care Operations- CLARITY, Inc. may use or disclose your protected health information for reviewing the competence or qualifications of health care professionals, or for conducting training programs in which students, trainees, or practitioners participate. CLARITY, Inc. may use or disclose your protected health information for accreditation, certification, licensing, or credentialing activities. CLARITY, Inc. may use or disclosure your protected health information to our business associates who participate in our healthcare operations. These disclosures will only be made after we have satisfactory assurances in the form of a Business Associates Agreement from the business associate. These assurances will include their agreement to comply with the HIPAA rules and the compliance of any subcontractor with which they do business.

CLARITY, Inc. may use or disclose protected health information to remind you of your appointment, to give you information about treatment alternatives, or other health related benefits or services. If you do not wish to receive appointment reminders or the information about treatment alternatives, other health related benefits, services, you may notify our office and you will receive no further information.

CLARITY, Inc. may contact you for our **own** fundraising activities. If you do not want to receive fundraising communication, you may opt-out at any time. Each communication will contain methods to be used to opt-out of further communication. If you opt-out, you will receive no further fundraising communications. If at any time you wish to receive fundraising communication you wish to receive the communication again, you can contact our practice.

Authorized Uses or Disclosures

The following uses or disclosures require a **valid** authorization as defined by the HIPAA standards.

Uses or Disclosures for Psychotherapy Notes- CLARITY, Inc. will require written authorization for most uses and disclosures of psychotherapy notes, where applicable.

Uses or Disclosures for Marketing Purposes- CLARITY, Inc. will require an authorization for uses and disclosures of protected health information used in marketing.

Disclosures for a Sale of Protected Health Information- CLARITY, Inc. will require an authorization for any disclosures that would constitute a sale of protected health information.

For any other use or disclosure, you wish us to make, you can give us a written, valid authorization. Your authorization must have specific instructions for the use and disclosure you want us to make. You will have the right to revoke the authorization in writing at any time before the information is used or disclosed.

Uses or disclosures requiring an opportunity for the individual to agree or object

For disclosures to others involved with your health care or payment, we will inform you in advance and give you the opportunity to agree or object. These disclosures will be limited to the information necessary to help with your health care or payment. These disclosures will only be made if you do not object.

<u>Uses and disclosures for which an authorization or opportunity to agree or object is not required</u>

The following uses or disclosures do not require an authorization or the opportunity for you to agree or object.

Uses and disclosures required by law- CLARITY, Inc. may use or disclose protected health information to the extent required by law. The use or disclosure will comply with and be limited to the relevant requirements of such law.

Uses and disclosures for public health activities- CLARITY, Inc. may use or disclose protected health information for the purpose of preventing or controlling disease, injury, or disability, including, but not limited to, the reporting of disease, injury, and vital events such as birth or death.

Disclosures about victims of abuse, neglect or domestic violence-CLARITY, Inc. may disclose protected health information about an individual whom CLARITY, Inc. reasonably believes to be a victim of abuse, neglect, or domestic violence.

Uses and disclosures for health oversight activities- CLARITY, Inc. may disclose protected health information to a health oversight agency for oversight activities authorized by law, including audits, civil, administrative, or criminal investigations, inspections, licensure, or disciplinary actions.

Disclosures for judicial and administrative proceedings- CLARITY, Inc. may, in response to an order of a court or administrative tribunal, provide only the protected health information expressly authorized by such order or a subpoena.

Disclosures for law enforcement purposes- CLARITY, Inc. may disclose protected health information as required by law including laws that require the reporting of certain types of wounds or other physical injuries.

Uses and disclosures about decedents- CLARITY, Inc. may disclose protected health information to a coroner or medical examiner for the purpose of identifying a deceased person, determining a cause of death, or other duties as authorized by law. We may disclose protected health information to a funeral director, as authorized by law, to carry out their duties. This disclosure will be made in reasonable anticipation of death.

Uses and disclosures for cadaveric organ, eye or tissue donation purposes- CLARITY, Inc. may use or disclose protected health information to organ procurement organizations or other entities engaged in the procurement, banking, or transplantation of cadaveric organs, eyes, or tissue for the purpose of facilitating organ, eye or tissue donation and transplantation.

Uses and disclosures for research purposes- CLARITY, Inc. may use or disclose protected health information for research, when the research has been approved by an institutional review board or privacy board, to protect your protected health information.

Uses and disclosures to avert a serious threat to health or safety-CLARITY, Inc. may, consistent with applicable law and standards of ethical conduct, use or disclose protected health information, in good faith, if we believe the use or disclosure is necessary to prevent or lessen a serious and imminent threat to the health or safety of a person or the public.

Uses and disclosures for specialized government- CLARITY, Inc. may use and disclose the protected health information of individuals who are Armed Forces personnel for activities deemed necessary by appropriate military command authorities to assure the proper execution of the military mission, if the appropriate military authority has published by notice in the Federal Register.

Disclosures for workers' compensation- CLARITY, Inc. may disclose protected health information as authorized by and to the extent necessary, to comply with laws relating to workers' compensation or other similar programs, established by law, that provide benefits for work-related injuries or illness without regard to fault.

Patient rights under HIPAA

The following information describes your rights under the HIPAA Standards. CLARITY, Inc. requires that all requests for the various rights be made in writing and we will provide our decision on your request in writing. You should be aware that there may be some situations when there could be limitations placed on your rights. We are required to permit you to request these rights, but we are not required to agree to your request, **except as in the Right of Restriction section.**

Right of an individual to request a restriction of uses and disclosures

CLARITY, Inc. will permit an individual to request that we restrict uses or disclosures of protected health information about the individual to carry out treatment, payment, or health care operations or to others involved in your care or in payment. We will consider these requests, but we are not required to agree to them, except as discussed in the next section.

Under your right of restriction, you may restrict certain disclosures of protected health information to a health plan for payment or healthcare operation, where payment in full is made out of pocket for a healthcare item or service. We will agree to this restriction as long as your payment is honored. If payment is not honored, we are not obligated to continue to abide by the requested restriction.

Confidential communication requirements

CLARITY, Inc. will permit an individual to request and will accommodate reasonable requests to receive communications of protected health information from our practice by alternative means or at an alternative location.

Access of individuals to protected health information

An individual has a right of access to inspect and obtain a copy of protected health information about the individual in a designated record set except as prohibited by state or federal law or certain other exemption. Your access may be provided in electronic form if producible at your request or in another form or format. As permitted by state and federal law, we may charge you a reasonable cost-based fee for a copy of your record. Questions about the fee should be addressed to our Privacy Officer at the phone number listed at the end of this document.

Amendment of protected health information

An individual has the right to ask to have CLARITY, Inc. amend protected health information or a record about the individual in a designated record set for as long as the protected health information is maintained in the designated record set.

Accounting of disclosures of protected health information

An individual has a right to receive an accounting of disclosures of protected health information made by CLARITY, Inc. in the past six years but not before April 14, 2003. The accounting will not include disclosures made for treatment, payment, or operations, as well as authorized disclosures or disclosures made for which you had an opportunity to agree or object. You may receive one free accounting in a 12-month period. There will a reasonable cost-based fee for additional requests.

Right of Breach Notification

An individual has the right to and will receive a notification of any breach of their unsecured protected health information as defined by the Breach Notification Rule. We will fulfill our obligation to provide notice in accordance to HIPAA standards.

Copy of this notice

You have a right to a copy of this notice. Even if you agreed to receive an electronic copy, you may request and receive a paper copy.

Our Duties

CLARITY, Inc. is required by law to maintain the privacy of protected health information and to provide individuals with notice of our legal duties and privacy practices with respect to protected health information.

CLARITY, Inc. is required to abide by the terms of the notice currently in effect.

CLARITY, Inc. is required to notify you of any change in a privacy practice that is described in the notice to protected health information that we created or received prior to issuing a revised notice. We reserve the right to change the terms of our notice and to make the new notice provisions effective for all protected health information that we maintain. Revised Notices with be available and posted at our office(s) and posted on our web site, if applicable.

Complaints

If at any time you feel we have violated your HIPAA rights, please contact our Privacy Officer or the Secretary of Health and Human Services. CLARITY, Inc. will not retaliate against any individual for filing a complaint.

Contact

You have the right to file a complaint with our Privacy Officer at the address and phone number at the top of this notice, or with the Office of Civil Rights, US Department of Health and Human Services, 61 Forsyth St., SW, Suite 3B70, Atlanta, GA 30323.

Effective Date of the Notice is November 16, 2018



29 N. Academy Street, Greenville, SC 29601 Phone: 864.331.1400 <u>WWW.Clarityupstate.Org</u>

Acknowledgement of Receipt of Notice of Privacy Practice for CLARITY, Inc.

| PATIENT INFORMATION | FOR: | |
|--|---|--|
| Patient's last name | Patient's first name | Patient's middle name |
| Patient's date of birth | | |
| I hereby acknowledge tl | nat I have received the Notice of | Privacy Practices for CLARITY, Inc. |
| Date Signatu | ure of patient or personal representative (as defined by HIPAA) | Relationship to patient (if other than patient) |
| Description of Personal Re | presentative and please attach a cop | by of documentation if applicable. |
| For Office Use Only: Documentation of "Good | Faith" Attempt to get acknowledge | ment signature. |
| guardian. A copy of t | | someone other than their parent or legal LARITY, Inc. will be mailed to the patient's owledgement of Receipt document. |
| ☐ The documentation wa | s mailed to the patient, but Acknowl | edgement of Receipt was not returned to us. |
| Document presented to | o patient, but patient refused to sigr | າ. |
| | <u> </u> | vas no time to give the Notice or receive a gement of Receipt will be handled as soon as |
| Documentation was practice an Acknowledgement | · | unication failure prevented us from receiving |
| Other | | |
| Employee preparing docur Employee Signature | | Date: |